

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Markwitz et al.

Application No.: 09/419,475 Filed: October 15, 1999

Group No.: 2776

For:

GUARD TOUR SYSTEM

Examiner: Unknown

Assistant Commissioner for Patents Washington, D.C. 20231

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. section 1.97(b))

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. section 1.97(b).

Date: December 30, 1999

Reg. No.: 39,199

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CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. section 1.8(a))

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PATENT

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R. Eric Gaum

(type or print name of person certifying)

Inventors:

Serial No.:

09/419,475

Art Unit:

Unknown

Filed:

October 15, 1999

Date:

December 30, 1999

For:

GUARD TOUR SYSTEM

To:

The Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

This Information Disclosure Statement Under 37 C.F.R. §1.97 is filed within three (3) months of the filing date of the above-identified patent application or prior to receipt of a first Office Action on the merits in connection with this patent application and is, therefore, considered timely under 37 C.F.R. §1.97(b).

According to the terms of 37 C.F.R. §1.97(g), this Information Disclosure Statement Under 37 C.F.R. §1.97 shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this Information Disclosure Statement Under 37 C.F.R. §1.97 shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13, at 25. This Information Disclosure Statement Under 37 C.F.R. §1.97 is made to comply with the duty of candor imposed on all individuals associates with the filing and prosecution of this patent application, as defined by 37 C.F.R. §1.56(c).

The nine (9) issued United States patents and one (1) non-patent literature document listed below and on the enclosed Forms PTO/SB/08A and PTO/SB/08B are submitted to the Examiner for consideration under 37 C.F.R. §§1.97 and 1.98 in connection with the above-identified patent application:

Applicant's reference AA, United States Patent No. 3,959,633 to Lawrence et al., issued May 25, 1976, for a "Security Guard Recording System."

Applicant's reference AB, United States Patent No. 3,990,067 to Van Dusen et al., issued November 2, 1976, for an "Electronic Security Tour System."

Applicant's reference AC, United States Patent No. 4,086,577 to Elgersma, issued April 25, 1978, for a "Guard Monitor System."

Applicant's reference AD, United States Patent No. 4,672,654 to Vanacore, issued June 9, 1987, for a "PBX Security System for Monitoring Security Guard Tours."

Applicant's reference AE, United States Patent No. 4,850,009 to Zook et al., issued July 18, 1989, for a "Portable Handheld Terminal Including Optical Bar Code Reader and Electromagnetic Transceiver Means for Interactive Wireless Communication with a Base Communications Station."

Applicant's reference AF, United States Patent No. 5,120,942 to Holland et al., issued

June 9, 1992, for a "Portable Tour Monitor Device, Report Generating System and Programming

Device Therefor."

Applicant's reference AG, United States Patent No. 5,467,074 to Pedtke, issued November 14, 1995, for a "Personal Security System with Transmitter Test Mode."

Applicant's reference AH, United States Patent No. 5,572,192 to Berube, issued November 5, 1996, for a "Personal Security System with Guard Tour Features."

Applicant's reference AI, United States Patent No. 5,623,258 to Dorfinan, issued April 22, 1997, for a "Multi-Station Data Capture System."

Applicant's reference AJ, TIMEKEEPING SYSTEMS, INC., "Guard1 - Guard Tour System For Windows 3.1 and Windows 95/98 Version 1.2" User's Guide, TimeKeeping Systems, Inc., Cleveland, Ohio, September 1998 (86 pages).

The foregoing Information Disclosure Statement Under 37 C.F.R. §1.97 is based on information contained in the undersigned attorney's file as of the filing date of this statement and is inclusive of the best information known to the undersigned as of that date. Prompt consideration of the Information Disclosure Statement Under 37 C.F.R. §1.97 and the references cited therein by the Examiner is respectfully requested.

Respectfully submitted,

Oldham & Oldham Co., L.P.A.

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Attorney Docket No. 6002-11

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PTO/SB/08A (10-96) for use through 10/31/99. OMB 0651-0031 Office: U.S. DEPARTMENT OF COMMERCE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

	Substitute for form 1449A	/PTO	L	Complete if Known				
				Application Number	09/419,475			
阁	INFORMATIC	ON DI	SCLOSURE	Filing Date	10/15/1999			
PADEMAR	STATEMENT	BY	APPLICANT	First Named Inventor	Barry Markwitz			
윘				Group Art Unit	2776 AN			
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	Sheet 1	of	1	Attorney Docket Number	6002-11			

				U.S. PATENT DOC	UMENTS .	.00
Examiner Initials	Cite No.1	U.S. Patent I	Document Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	3,959,633		Lawrence et al.	05/25/1976	<u> </u>
		3,990,067		Van Dusen et al.	11/02/1976	. <u>.</u>
	AC	4,086,577		Elgersma	04/25/1978	
	AD	4,672,654		Vanacore	06/09/1987	
	ΑE	4,850,009		Zook et al.	07/18/1989	
	AF	5,120,942		Holland et al.	06/09/1992	
	AG	5,467,074		Pedtke	11/14/1995	
	AH	5,572,192		Berube	11/05/1996	
	ΑI	5,623,258		Dorfman	04/22/1997	
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				FORE	GN PATENT DOCUMENT	rs		
Examiner Initials*	Cite No.1	Foreign Patent Document			Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant	T
		Office ³	Number ⁴	Kind Code ⁵ (if known)	Applicant of Cited Document	Cited Document Passage	Passages or Relevant Figures Appear	Т
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Signature	. [Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

Complete if Known 09/419,475 **Application Number** 10/15/1999 Filing Date First Named Inventor Barry Markwitz 2776 Group Art Unit Unknown Examiner Name Attorney Docket Number 6002-11

FECE VAN ED OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Examiner Cite item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), Initials No.1 publisher, city and/or country where published. TIMEKEEPING SYSTEMS, INC., "Guard1 - Guard Tour System For Windows 3.1 and Windows 95/98 Version 1.2" User's Guide, TimeKeeping Systems, Inc., Cleveland, Ohio, September 1998 (86 pages)

Examiner	Date	
Signature	Considered	
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. 2 Applicant is to place a check mark here if English language Translation is attached.